



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77772

Junya YADA, et al.

Appln. No.: 10/674,810

Group Art Unit: NOT YET ASSIGNED

Confirmation No.: 8791

Examiner: NOT YET ASSIGNED

Filed: October 1, 2003

For: REC

RECORDING APPARATUS

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Peter A. McKenna 38,551

Power of Attorney:

Darryl Mexic 23,063

Brian W. Hannon 32,778 Kelly Hyndman 39,234

<u>Paul F. Neils 33,102</u> <u>Chris Lipp 41,157</u>

Abraham J. Rosner 33,276 Susan Pan 41,239

Bruce Kramer 33,725 Grant Rowan 41,278

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

USSN: 10/674,810

Verification for the requested correction is indicated on the Declaration and Power of Attorney filed June 23, 2004. Also, enclosed is a copy of the Declaration and Power of Attorney.

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

 $\begin{array}{c} \text{Washington office} \\ 23373 \\ \text{customer number} \end{array}$

Date: March 23, 2005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Docket No: Q77772

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Appln. No.: 10/674,810

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RECORDING APPARATUS

LIST OF ATTORNEYS PURSUANT TO 37 C.F.R. § 1.32(c)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.32(c)(3), please recognize the following ten or fewer registered patent attorneys or registered patent agents named on the Declaration and Power of Attorney executed by the inventors on December 18, 2003 as being of record in the above identified Application or Patent.

Darryl Mexic 23,063

Peter A. McKenna 38,551

Brian W. Hannon 32,778

Kelly Hyndman 39,234

Paul F. Neils 33,102

Chris Lipp 41,157

Abraham J. Rosner 33,276

Susan Pan 41,239

Bruce Kramer 33,725

Grant Rowan 41,278

The above identified attorneys are also associated with Customer Number 23373...

Respectfully submitted,

SUGHRUE MION, PLLC

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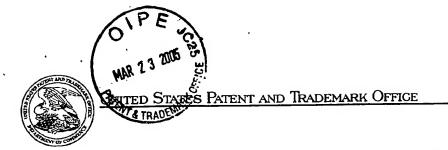
Registration No. 23,063

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 23, 2005



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usptu.gov

FILING OR 371 DRAWINGS TOT CLMS IND CLMS ATTY.DOCKET NO FIL FEE REC'D ART UNIT APPL NO. (c) DATE Q77772 37 19 1030 2622 10/674,810 10/01/2003

CONFIRMATION NO. 8791

UPDATED FILING RECEIPT

OC000000015061853

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

Date Mailed: 01/31/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Junya Yada, Nagano-ken, JAPAN; Tomohiro Takahashi, Nagano-ken, JAPAN; Koichi Ono, Nagano-ken, JAPAN; Shunsaku Miyazawa, Nagano-ken, JAPAN; Toshihiko Katayama, Nagano-ken, JAPAN; Hideyuki Narusawa, Nagano-ken, JAPAN;

Assignment For Published Patent Application

SEIKO EPSON CORPORATION, Shinjuku-ku, JAPAN

Power of Attorney:

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Robert Steam - 22779	
Darryl Mexic -23063	Sheldon Landsman-25430 -

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-288977 10/01/2002 JAPAN 2002-290404 10/02/2002 JAPAN 2002-290405 10/02/2002 JAPAN 2003-111331 04/16/2003

JAPAN 2003-329167 09/19/2003

If Required, Foreign Filing License Granted: 04/22/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/674,810

Projected Publication Date: 05/12/2005

Non-Publication Request: No

Early Publication Request: No

Title

Recording apparatus

Preliminary Class

358

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nder the Page work Reduction of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control

Seiko Epson Ref. No.: F008941US00/TP

Attorney's Ref. No.: 676

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は、下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者(下記の氏名が一つの場合)もしくは最初かつ共同発明者であると(下記の名称が複数の場合)信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

記録装置

RECORDING APPARATUS

上記発明の明細書(下記の欄で×印がついていない場合は、本書に添付)は、

the specification of which is attached hereto unless the following box is checked:

図 2003 年 10 月 1 日 に提出され、米国出願番号または 特許協定条約 国際出願番号を 10/674810 とし、 (該当する場合) _____ に訂正されました。 was filed on October 1, 2003
as United States Application Number or
PCT International Application Number
10/674810 and was amended on
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、 特許資格の有無について重要な情報を開示する義務があることを 認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

mber.

Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基き下記の、米国以外の国の少なくとも1ヶ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) o any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s) Priority Not Claimed 外国での先行出願 優先権主張なし 2002-288977 Japan 1/10/2002 (Country) (Number) (Day/Month/Year Filed) (番号) (国名) (出願年月日) 2002-290404 Japan 2/10/2002 (Number) (Country) (Day/Month/Year Filed) (番号) (国名) (出願年月日) I hereby claim the benefit under Title 35, United States Code, 私は、第35編米国法典119条(e)項に基いて下記の米国特 Section 119 (e) of any United States provisional application(s) 許出願規定に記載された権利をここに主張いたします。 listed below. (Application No.) (Filing Date) (Application No.) (Filing Date) (出願番号) (出願日) (出願番号) (出願日)

私は下記の米国法典第35編120条に基いて下記の米国特 許出願に記載された権利、又は米国を指定している特許協力条約 365条(c)に基づく権利をここに主張します。また、本出願の 各請求範囲の内容が米国法典第35編112条第1項又は特許協 力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内 または特許協力条約国際提出日までの期間中に入手された、連邦 規則法典第37編1章56項で定義された特許資格の有無に関す

る重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application:

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が 真実であり、かつ私が入手した情報と私の信じるところに基づく 表明が全て真実であると信じていること、さらに故意になされた 虚偽の表明及びそれと同等の行為は米国法典第18編第1001 条に基づき、罰金または拘禁、もしくはその両方により処罰され ること、そしてそのような故意による虚偽の声明を行なえば、出 願した、又は既に許可された特許の有効性が失われることを認識 し、よってここに上記のごとく宣誓を致します。 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条 (a)-(d)項又は365条 (b)項に基き下記の、米国以外の国の少なくとも1ヶ国を指定し ている特許協力条約365条(a)項に基づく国際出願、又は外国 での特許出願もしくは発明者証の出願についての外国優先権をこ こに主張するとともに、優先権を主張している、本出願の前に出 願された特許または発明者証の外国出願を以下に、枠内をマーク することで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) o any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s) Priority Not Claimed 外国での先行出願 優先権主張なし 2002-290405 Japan 2/10/ 2002 (Number) (Country) (Day/Month/Year Filed) (番号) (国名) (出願年月日) 2003-111331 Japan 16/04/2003 (Number) (Country) (Day/Month/Year Filed) (番号) (国名) (出願年月日) I hereby claim the benefit under Title 35, United States Code, 私は、第35編米国法典119条(e)項に基いて下記の米国特 Section 119 (e) of any United States provisional application(s) 許出願規定に記載された権利をここに主張いたします。 listed below (Application No.) (Filing Date) (Application No.) (Filing Date) (出願番号) (出願日) (出願番号) (出願日)

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(Application No.) (Filing Date) (Status: Patented, Pending, Abandoned) (出願番号) (出願日) (現況:特許許可済、係属中、放棄済) (Application No.) (Filing Date) (出願番号) (出願日)

(Status: Patented, Pending, Abandoned) (現況:特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が 真実であり、かつ私が入手した情報と私の信じるところに基づく 表明が全て真実であると信じていること、さらに故意になされた 虚偽の表明及びそれと同等の行為は米国法典第18編第1001 条に基づき、罰金または拘禁、もしくはその両方により処罰され ること、そしてそのような故意による虚偽の声明を行なえば、出 願した、又は既に許可された特許の有効性が失われることを認識 し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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表明が全て真実であると信じていること、さらに故意になされた

虚偽の表明及びそれと同等の行為は米国法典第18編第1001

条に基づき、罰金または拘禁、もしくはその両方により処罰され

ること、そしてそのような故意による虚偽の声明を行なえば、出

願した、又は既に許可された特許の有効性が失われることを認識

し、よってここに上記のごとく宣誓を致します。

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and belief are believed to be true; and further that these

statements were made with the knowledge that willful false

statements and the like so made are punishable by fine or

imprisonment, or both, under Section 1001 of Title 18 of the

United States Code and that such willful false statements may

jeopardize the validity of the application or any patent issued

	·	priority is claimed.	• • • • • • • • • • • • • • • • • • • •
Prior Foreign Application(s) 外国での先行出願		,	Priority Not Claimed ・ 優先権主張なし
2003-329167	Japan	19/09/ 2003	П
(Number)	(Country)	(Day/Month/Year Filed)	
(番号)	(国名)	(出願年月日)	
			П
(Number)	(Country)	(Day/Month/Year Filed)	
(番号)	(国名)	(出願年月日)	
私は、第35編米国法典1 許出願規定に記載された権利を	1 9条(e)項に基いて下記の米国 とここに主張いたします。	पि	der Title 35, United States Code, d States provisional application(s)
(Application No.)	(Filing Date)	(Application No.)	(Filing Date)
(出願番号)	(出願日)	(出願番号)	(出願日)
許出願に記載された権利、又は 365条(c)に基づく権利を、 各請求範囲の内容が米国法典の 力条約で規定された方法で先行 ない限り、その先行米国出願行 または特許協力条約国際提出に 規則法典第37編1章56項	編120条に基いて下記の米国 は米国を指定している特許協力条 ここに主張します。また、本出願 第35編112条第1項又は特許 すする米国特許出願に開示されて 替提出日以降で本出願書の日本国 日までの期間中に入手された、連 で定義された特許資格の有無に関 務があることを認識しています。	Section 120 of any United Signary PCT International application listed below and, insofar as the claims of this application is states or PCT International aby the first paragraph of Title 112, I acknowledge the duty material to patentability as de Regulations, Section 1.56 which	tates application(s), or 365 (c) of tion designating the United States, the subject matter of each of the not disclosed in the prior United application in the manner provided a 35, United States Code, Section to disclose information which is fined in Title 37, Code of Federal ch became available between the cation and the national or PCT ication:
(Application No.) (出願番号)	(Filing Date) (出願日)		Pending, Abandoned) f、係属中、放棄済)
(Application No.)	(Filing Date)	(Status: Patented	Pending, Abandoned)
(出願番号)	(出願日)	·	· · · · · · · · · · · · · · · · · · ·
	いて本宣言書中で私が行なう表明	i hereby declare that all sta	tements made herein of my own
真実であり、かつ私が入手した	た情報と私の信じるところに基づ	knowledge are true and that a	Il statements made on information

Page 4 of 7

thereon.

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Japanese Language Declaration

(日本語宣言書)

委任状: 私は、下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。 (弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

John H. Mion, Reg. No. 18,879; Thomas J. Macpeak, Reg. No. 19,292; Robert J. Seas, Jr., Reg. No. 21, 092; Darryl Mexic, Reg. No.23,063; Robert V. Sloan, Reg. No. 22,775; Peter D. Olexy, Reg. No. 24,513; J. Frank Osha, Reg. No.24,625; Waddell A. Biggart, Reg. No. 24,861; Louis Gubinsky, Reg. No. 24,835; Neil B. Siegel, Reg. No. 25,200; David J. Cushing, Reg. No. 28,703; John R. Inge, Reg. No. 26,916; Joseph J. Ruch. Jr., Reg. No. 26,577; Sheldon I. Landsman, Reg. No. 25,430; Richard C. Turner, Reg. No. 29,710; Howard L. Bernstein, Reg. No. 25,665, Alan J. Kasper, Reg. No. 25,426; Kenneth J. Burchfiel, Reg. No. 31,333; Gordon Kit, Reg. No. 30,764; Susan J. Mack, Reg. No. 30,951; Frank L. Bernstein, Reg. No. 31,484; Mark Boland, Reg. No. 32,197; William H. Mandir, Reg. No. 32,156; Brian W. Hannon, Reg. No. 32,778; Abraham J. Rosner, Reg. No. 33,276; Bruce E. Kramer, Reg. No. 33,725; Paul F. Neils, Reg. No. 33,102; Brett S. Sylvester, Reg. No. 32,765; Robert M. Masters, Reg. No. 35,603, George F. Lehnigk, Reg. No. 36,359, John T. Callahan, Reg. No.32,607, Steven M. Gruskin, Reg. No. 36,818, Peter A. McKenna, Reg. No. 38,551 and Edward F. Kenehan, Reg. No. 28,962

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